

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - CENTRAL GOVERNMENT

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2019

	As at Sept. 30, 2019	As at Sept. 30, 2018
Liabilities		
Unit Capital	134,253,849,505	113,509,908,550
Reserves and Surplus	255,889,079,374	176,357,256,833
Current Liabilities and Provisions	303,596,990	142,508,930
Total	390,446,525,869	290,009,674,313
Assets		
Investments	381,951,546,813	283,064,136,998
Deposits	-	250,000,000
Other Current Assets	8,494,979,056	6,695,537,315
Total	390,446,525,869	290,009,674,313
(a) Net assets as per Balance Sheet	390,142,928,879	289,867,165,383
(b) Number of Units outstanding	13,425,384,951	11,350,990,855
(c) NAV per Unit	29.0601	25.5367

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019
Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - CENTRAL GOVERNMENT

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019

Particulars	Half Year ended September 30, 2019	Half Year ended September 30, 2018
Income		
Dividend	403,622,773	315,719,195
Interest	11,988,028,123	9,549,695,855
Profit on sale/redemption of investments	442,044,508	563,818,977
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	7,144,578,845	-
Provision for NPA Written Back	-	37,749,398
Other income		
- Miscellaneous Income	(2)	17,390
Total	19,978,274,247	10,467,000,815
Expenses and Losses		
Unrealized losses in value of investments	-	8,670,194,300
Loss on sale/redemption of investments	120,449,781	110,502,442
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	22,156,557	16,738,183
NPS Trust fees	3,211,221	6,965,373
Custodian fees	8,832,474	4,832,864
Trustee Bank's fees	-	-
Depository and settlement charges	242,379	165,332
Provision for Non-performing assets	1,183,067,680	5,396
Other Expenses, if any	-	-
Total	1,337,960,092	8,809,403,890
Surplus/(Deficit) for the year	18,640,314,155	1,657,596,925
Less: Amount transferred to Unrealised appreciation account	7,144,578,845	(8,670,194,300)
Less: Amount transferred to General Reserve	11,495,735,310	10,327,791,225
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - CENTRAL GOVERNMENT

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2019

Schedule 1	As at September 30, 2019	As at September 30, 2018
Unit Capital		
Initial Capital*		
Outstanding at the beginning of the year	123,187,485,144	103,921,559,241
Add :Units issued during the year	11,486,937,864	9,972,060,120
Less: Units redeemed during the year	420,573,503	383,710,811
Outstanding at the end of the year	134,253,849,505	113,509,908,550
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	12,318,748,514	8,640,965,294
Add :Units issued during the year	1,148,693,786	874,087,075
Less: Units redeemed during the year	42,057,350	20,502,743
Outstanding Units at the end of the year	13,425,384,951	11,350,990,855
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Schedule 2	As at September 30, 2019	As at September 30, 2018
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	123,108,621,137	92,377,300,533
Add: Premium on Units issued	21,258,807,074	15,419,839,283
Less: Premium on Units redeemed	780,167,216	592,328,351
Closing Balance	143,587,260,995	107,204,811,465
General Reserve		
Opening Balance	80,736,736,423	58,942,701,281
Add: Transfer from Revenue Account	11,495,735,310	10,327,791,225
Closing Balance	92,232,471,733	69,270,492,506
Unrealised Appreciation Account		
Opening Balance	12,924,767,801	8,552,147,162
Add: Transfer from Revenue Account	7,144,578,845	(8,670,194,300)
Closing Balance	20,069,346,646	(118,047,138)
Total	255,889,079,374	176,357,256,833
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Schedule 3	As at September 30, 2019	As at September 30, 2018
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	239,414,774	36,513,512
Provision for assets upgraded as performing assets	-	-
Redemption Payable	34,134,255	14,508,839
Contract for Purchase of Investments	11,927,097	75,124,337
Interest received in advance	-	-
TDS Payable	-	(491,314)
Sundry Creditors for expenses	18,120,864	16,853,556
	303,596,990	142,508,930

Schedule 4	As at September 30, 2019	As at September 30, 2018
Investments (Long Term and Short Term)		
Equity Shares	47,898,788,525	39,370,726,006
Debentures and Bonds Listed/Awaiting Listing	122,532,925,374	96,580,565,995
Central and State Government Securities (including treasury bills)	206,749,811,999	144,173,614,976
Commercial Paper	-	-
Others - Mutual Fund Units	3,665,820,915	2,939,230,021
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	2,029,200,000	27,958,000
Less: Provision on Non performing investment	(925,000,000)	(27,958,000)
Total	381,951,546,813	283,064,136,998

Schedule 5	As at September 30, 2019	As at September 30, 2018
Deposits		
Deposits with Scheduled Banks	-	250,000,000
	-	250,000,000

Schedule 6	As at September 30, 2019	As at September 30, 2018
Other Current Assets		
Outstanding and accrued Income	7,807,874,325	6,631,193,081
Interest due on NPA A/c	239,414,774	36,513,512
Application Pending Allotment	-	-
Balance with Trustee Bank	99,512,392	27,264,699
Contract for sale of investments	347,670,118	-
TDS Receivable	167,401	167,401
Brokerage receivable from LIC Pension Fund Ltd.	319,046	398,622
Redemption receivable on investments	-	-
Sundry Debtors	740,433,334	592,433,334
Less: Provision for Non performing investment	(740,412,334)	(592,433,334)
	8,494,979,056	6,695,537,315

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - STATE GOVERNMENT

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2019

	As at Sept. 30, 2019	As at Sept. 30, 2018
Liabilities		
Unit Capital	235,691,339,089	187,574,975,917
Reserves and Surplus	375,991,574,077	239,566,955,307
Current Liabilities and Provisions	298,919,737	189,682,586
Total	611,981,832,903	427,331,613,810
Assets		
Investments	599,268,039,316	417,448,521,194
Deposits	-	250,000,000
Other Current Assets	12,713,793,587	9,633,092,616
Total	611,981,832,903	427,331,613,810
(a) Net assets as per Balance Sheet	611,682,913,166	427,141,931,224
(b) Number of Units outstanding	23,569,133,909	18,757,497,592
(c) NAV per Units	25.9527	22.7718

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019
Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - STATE GOVERNMENT

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019

Particulars	Half Year ended September 30, 2019	Half Year ended September 30, 2018
Income		
Dividend	593,320,541	428,557,181
Interest	18,450,794,288	13,777,065,982
Profit on sale/redemption of investments	604,361,102	1,262,793,693
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	11,331,572,150	-
Provision for NPA Written Back	-	26,965,999
Other income		
- Miscellaneous Income	-	51,434
Total	30,980,048,081	15,495,434,289
Expenses and Losses		
Unrealized losses in value of investments	-	13,605,359,685
Loss on sale/redemption of investments	153,368,848	199,855,235
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	34,184,943	24,331,694
NPS Trust fees	4,993,404	10,136,391
Custodian fees	13,519,340	6,980,661
CRA Fees	-	-
Less: Amount recoverable on sale of units on account of CRA Charges	-	-
Trustee Bank's fees	-	-
Depository and settlement charges	390,694	340,200
Provision for Non-performing assets	1,571,526,083	-
Other Expenses, if any	-	-
Total	1,777,983,312	13,847,003,866
Surplus/(Deficit) for the year	29,202,064,769	1,648,430,423
Less: Amount transferred to Unrealised appreciation account	11,331,572,150	(13,605,359,685)
Less: Amount transferred to General Reserve	17,870,492,619	15,253,790,108
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - STATE GOVERNMENT

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2019

Schedule 1	As at September 30, 2019	As at September 30, 2018
Unit Capital		
Initial Capital*	-	-
Outstanding at the beginning of the year	211,183,603,140	167,955,710,157
Add :Units issued during the year	25,189,226,830	20,234,271,207
Less: Units redeemed during the year	681,490,881	615,005,447
Outstanding at the end of the year	235,691,339,089	187,574,975,917
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	21,118,360,314	13,149,067,188
Add :Units issued during the year	2,518,922,683	1,730,355,989
Less: Units redeemed during the year	68,149,088	42,711,373
Outstanding Units at the end of the year	23,569,133,909	18,757,497,592
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Schedule 2	As at September 30, 2019	As at September 30, 2018
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	192,442,947,176	135,185,562,690
Add: Premium on Units issued	39,079,066,875	25,704,015,611
Less: Premium on Units redeemed	1,062,826,238	780,953,518
Closing Balance	230,459,187,813	160,108,624,783
General Reserve		
Opening Balance	100,225,598,853	67,652,950,607
Add: Transfer from Revenue Account	17,870,492,619	15,253,790,108
Closing Balance	118,096,091,472	82,906,740,715
Unrealised Appreciation Account		
Opening Balance	16,104,722,642	10,156,949,494
Add: Transfer from Revenue Account	11,331,572,150	(13,605,359,685)
Closing Balance	27,436,294,792	(3,448,410,191)
Total	375,991,574,077	239,566,955,307
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Schedule 3	As at September 30, 2019	As at September 30, 2018
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	213,633,161	9,746,548
Contract for Purchase of Investments	7,132,977	123,455,173
Redemption Payable	49,999,420	32,547,091
Interest received in advance	-	-
TDS Payble	-	(718,195)
Sundry Creditors for expenses	28,154,179	24,651,969
Provision for assets upgraded as performing assets	-	-
	298,919,737	189,682,586

Schedule 4	As at September 30, 2019	As at September 30, 2018
Investments (Long Term and Short Term)		
Equity Shares	72,071,006,759	56,322,740,822
Debentures and Bonds Listed/Awaiting Listing	192,249,954,427	142,617,834,114
Central and State Government Securities (including treasury bills)	324,611,389,072	212,093,066,172
Commercial Paper	-	-
Others - Mutual Fund Units	8,381,389,058	6,414,880,086
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	3,276,800,000	11,982,000
Less: Provision on Non performing investment	(1,322,500,000)	(11,982,000)
Total	599,268,039,316	417,448,521,194

Schedule 5	As at September 30, 2019	As at September 30, 2018
Deposits		
Deposits with Scheduled Banks	-	250,000,000
	-	250,000,000

Schedule 6	As at September 30, 2019	As at September 30, 2018
Other Current Assets		
Outstanding and accrued Income	12,085,617,999	9,601,725,840
Balance with Trustee Bank	96,346,288	20,930,562
Application Pending Allotment	-	-
Contracts for sale of investments	317,656,036	-
TDS Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	531,103	689,666
Interest due on NPA A/c	213,633,161	9,746,548
Redemption receivable on investments	-	-
Sundry Debtors	305,233,334	223,233,334
Less: Provision for Non performing investment	(305,224,334)	(223,233,334)
	12,713,793,587	9,633,092,616

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - NPS LITE GOVERNMENT PATTERN

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2019

	Schedules	As at Sept. 30, 2019	As at Sept. 30, 2018
Liabilities			
Unit Capital	1	4,345,817,818	4,205,985,792
Reserves and Surplus	2	6,143,901,540	4,655,869,654
Current Liabilities and Provisions	3	4,006,412	1,883,480
Total		10,493,725,770	8,863,738,926
Assets			
Investments	4	10,279,742,337	8,654,313,880
Deposits	5	-	-
Other Current Assets	6	213,983,433	209,425,046
Total		10,493,725,770	8,863,738,926
(a) Net assets as per Balance Sheet		10,489,719,358	8,861,855,446
(b) Number of Units outstanding		434,581,782	420,598,579
(b) NAV Per Units		24.1375	21.0696

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - NPS LITE GOVERNMENT PATTERN

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019

Particulars	Year ended Sept 30, 2019	Year ended Sept 30, 2018
Income		
Dividend	12,227,753	10,853,536
Interest	334,388,974	302,158,529
Profit on sale/redemption of investments	21,071,251	44,658,013
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	201,922,787	-
Other income		
- Miscellaneous Income	-	142
Total	569,610,765	357,670,220
Expenses and Losses		
Unrealized losses in value of investments	-	273,331,180
Loss on sale/redemption of investments	6,092,263	10,665,269
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	614,826	528,557
NPS Trust fees	87,091	219,592
Custodian fees	246,868	151,954
Trustee Bank's fees	-	-
Depository and settlement charges	743	2,431
Provision for Non-performing assets	-	-
Other Expenses, if any	-	-
Total	7,041,791	284,898,983
Surplus/(Deficit) for the year	562,568,974	72,771,237
Less: Amount transferred to Unrealised appreciation account	201,922,787	(273,331,180)
Less: Amount transferred to General Reserve	360,646,187	346,102,417
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - NPS LITE GOVERNMENT PATTERN

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2019

Schedule 1	As at Sept 30, 2019	As at Sept 30, 2018
Unit Capital		
Initial Capital*	-	
Outstanding at the beginning of the year	4,293,054,900	4,112,630,362
Add :Units issued during the year	92,809,460	128,673,463
Less: Units redeemed during the year	40,046,542	35,318,033
Outstanding at the end of the year	4,345,817,818	4,205,985,792
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	429,305,490	385,162,828
Add :Units issued during the year	9,280,946	17,957,792
Less: Units redeemed during the year	4,004,654	5,265,759
Outstanding Units at the end of the year	434,581,782	420,598,579
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Schedule 2	As at Sept 30, 2019	As at Sept 30, 2018
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	2,143,222,679	1,934,789,613
Add: Premium on Units issued	125,328,973	141,723,654
Less: Premium on Units redeemed	54,160,622	38,690,479
Closing Balance	2,214,391,030	2,037,822,788
General Reserve		
Opening Balance	2,882,673,579	2,173,022,059
Add: Transfer from Revenue Account	360,646,187	346,102,417
Closing Balance	3,243,319,766	2,519,124,476
Unrealised Appreciation Account		
Opening Balance	484,267,957	372,253,570
Add: Transfer from Revenue Account	201,922,787	(273,331,180)
Closing Balance	686,190,744	98,922,390
Total	6,143,901,540	4,655,869,654
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Schedule 3	As at Sept 30, 2019	As at Sept 30, 2018
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	-	-
Redemption Payable	1,366,865	878,030
Contract for Purchase of Investments	2,148,687	498,399
TDS Payable	-	(15,295)
Interest received in advance	-	-
Sundry Creditors for expenses	490,860	522,346
	4,006,412	1,883,480

Schedule 4	As at Sept 30, 2019	As at Sept 30, 2018
Investments (Long Term and Short Term)		
Equity Shares	1,458,836,209	1,214,739,202
Debentures and Bonds Listed/Awaiting Listing	3,322,759,013	2,934,654,052
Central and State Government Securities (including treasury bills)	5,392,387,223	4,401,130,015
Commercial Paper	-	-
Others - Mutual Fund Units	105,759,892	103,790,611
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	-	-
Less: Provision on Non performing investment	-	-
Total	10,279,742,337	8,654,313,880

Schedule 5	As at Sept 30, 2019	As at Sept 30, 2018
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept 30, 2019	As at Sept 30, 2018
Other Current Assets		
Outstanding and accrued Income	200,840,092	208,869,281
Balance with Trustee Bank	11,050,179	527,587
Interest due on NPA A/c	-	-
Application Pending Allotment (Equity)	-	-
Contract for sale of investments	2,064,211	-
Tax Receivable	13,107	13,107
Brokerage receivable from LIC Pension Fund Ltd.	15,844	15,071
Redemption receivable on investments	-	-
Sundry Debtors	-	-
Less: Provision for Non performing investment	-	-
	213,983,433	209,425,046

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - CORP CG

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2019

	Schedules	As at Sept. 30, 2019	As at Sept. 30, 2018
Liabilities			
Unit Capital	1	11,849,282,261	17,402,397,279
Reserves and Surplus	2	11,000,409,417	11,711,941,337
Current Liabilities and Provisions	3	10,471,795	10,577,351
Total		22,860,163,473	29,124,915,967
Assets			
Investments	4	22,395,754,994	28,496,063,824
Deposits	5	-	-
Other Current Assets	6	464,408,479	628,852,143
Total		22,860,163,473	29,124,915,967
(a) Net assets as per Balance Sheet		22,849,691,678	29,114,338,616
(b) Number of Units outstanding		1,184,928,226	1,740,239,728
(c) NAV Per Units		19.2836	16.7301

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - CORP CG

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019

Particulars	Year ended Sept 30, 2019	Year ended Sept 30, 2018
Income		
Dividend	21,837,924	30,916,947
Interest	697,370,142	934,078,520
Profit on sale/redemption of investments	29,581,687	58,358,282
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	540,662,804	
Other income		
- Miscellaneous Income	1	718
Total	1,289,452,558	1,023,354,467
Expenses and Losses		
Unrealized losses in value of investments	-	915,024,749
Loss on sale/redemption of investments	8,080,468	16,315,085
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	1,260,426	1,624,604
NPS Trust fees	187,293	690,109
Custodian fees	561,955	488,240
Trustee Bank's fees	-	-
Depository and settlement charges	17,848	36,961
Provision for Non-performing assets	22,500,000	-
Other Expenses, if any	-	-
Total	32,607,990	934,179,748
Surplus/(Deficit) for the year	1,256,844,568	89,174,719
Less: Amount transferred to Unrealised appreciation account	540,662,804	(915,024,749)
Less: Amount transferred to General Reserve	716,181,764	1,004,199,468
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - CORP CG

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2019

Schedule 1	As at Sept 30, 2019	As at Sept 30, 2018
Unit Capital		
Initial Capital*	-	
Outstanding at the beginning of the year	10,867,549,325	15,506,976,156
Add :Units issued during the year	1,026,521,658	1,960,796,508
Less: Units redeemed during the year	44,788,722	65,375,385
Outstanding at the end of the year	11,849,282,261	17,402,397,279
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	1,086,754,933	1,184,436,118
Add :Units issued during the year	102,652,166	177,099,578
Less: Units redeemed during the year	4,478,872	4,467,321
Outstanding Units at the end of the year	1,184,928,226	1,740,239,728
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Schedule 2	As at Sept 30, 2019	As at Sept 30, 2018
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	2,958,718,884	6,924,673,737
Add: Premium on Units issued	915,209,369	1,312,093,300
Less: Premium on Units redeemed	39,907,003	43,739,306
Closing Balance	3,834,021,250	8,193,027,731
General Reserve		
Opening Balance	5,462,780,197	3,434,283,921
Add: Transfer from Revenue Account	716,181,764	1,004,199,468
Closing Balance	6,178,961,961	4,438,483,389
Unrealised Appreciation Account		
Opening Balance	446,763,402	(4,545,034)
Add: Transfer from Revenue Account	540,662,804	(915,024,749)
Closing Balance	987,426,206	(919,569,783)
Total	11,000,409,417	11,711,941,337
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Schedule 3	As at Sept 30, 2019	As at Sept 30, 2018
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	1,376,219	-
Redemption Payable	2,384,528	2,340,859
Contract for Purchase of Investments	5,661,722	6,597,171
TDS Payable	-	(47,886)
Sundry Creditors for expenses	1,049,326	1,687,207
	10,471,795	10,577,351

Schedule 4	As at Sept 30, 2019	As at Sept 30, 2018
Investments (Long Term and Short Term)		
Equity Shares	2,855,098,437	3,735,466,361
Debentures and Bonds Listed/Awaiting Listing	7,195,434,182	9,801,350,782
Central and State Government Securities (including treasury bills)	12,077,842,124	14,489,656,441
Commercial Paper	-	-
Others - Mutual Fund Units	259,880,251	469,590,240
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	- 30,000,000	-
Less: Provision on Non performing investment	(22,500,000)	-
Total	22,395,754,994	28,496,063,824

Schedule 5	As at Sept 30, 2019	As at Sept 30, 2018
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept 30, 2019	As at Sept 30, 2018
Other Current Assets		
Outstanding and accrued Income	433,394,722	614,468,320
Interest due on NPA A/c	1,376,219	-
Balance with Trustee Bank	26,344,895	14,320,552
Contract for sale of investments	3,267,496	-
Tax Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	25,147	63,271
Redemption receivable on investments	-	-
Sundry Debtors	-	-
Less: Provision for Non performing investment	-	-
	464,408,479	628,852,143

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - E TIER I

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2019

	Schedules	As at Sept. 30, 2019	As at Sept. 30, 2018
Liabilities			
Unit Capital	1	3,696,239,631	2,291,441,764
Reserves and Surplus	2	3,274,789,363	1,934,483,022
Current Liabilities and Provisions	3	8,999,265	13,203,420
Total		6,980,028,259	4,239,128,206
Assets			
Investments	4	6,975,375,930	4,237,228,169
Deposits	5	-	-
Other Current Assets	6	4,652,329	1,900,037
Total		6,980,028,259	4,239,128,206
(a) Net assets as per Balance Sheet		6,971,028,994	4,225,924,786
(b) Number of Units outstanding		369,623,963	229,144,176
(C) NAV Per Units		18.8598	18.4422

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - E TIER I

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019

Particulars	Year ended Sept 30, 2019	Year ended Sept 30, 2018
Income		
Dividend	51,321,072	31,787,112
Interest	8,712	-
Profit on sale/redemption of investments	25,207,768	91,832,069
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	-	80,941,758
Other income		
- Miscellaneous Income	1	491
Total	76,537,553	204,561,430
Expenses and Losses		
Unrealized losses in value of investments	81,999,984	-
Loss on sale/redemption of investments	99,295,853	12,688,360
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	354,808	237,972
NPS Trust fees	54,134	101,845
Custodian fees	135,307	63,902
Trustee Bank's fees	-	-
Depository and settlement charges	-	-
Other Expenses, if any	-	-
Total	181,840,086	13,092,079
Surplus/(Deficit) for the year	(105,302,533)	191,469,351
Less: Amount transferred to Unrealised appreciation account	(81,999,984)	80,941,758
Less: Amount transferred to General Reserve	(23,302,549)	110,527,593
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - E TIER I

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2019

Schedule 1	As at Sept 30, 2019	As at Sept 30, 2018
Unit Capital		
Initial Capital*	-	-
Outstanding at the beginning of the year	2,884,039,209	2,031,242,588
Add :Units issued during the year	976,554,117	376,954,210
Less: Units redeemed during the year	164,353,695	116,755,034
Outstanding at the end of the year	3,696,239,631	2,291,441,764
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	288,403,921	137,539,288
Add :Units issued during the year	97,655,412	30,959,708
Less: Units redeemed during the year	16,435,370	5,686,532
Outstanding Units at the end of the year	369,623,963	229,144,176
<hr/>		
Schedule 2	As at Sept 30, 2019	As at Sept 30, 2018
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	1,711,381,372	1,001,570,322
Add: Premium on Units issued	839,716,087	317,939,690
Less: Premium on Units redeemed	141,913,863	99,507,947
Closing Balance	<u>2,409,183,596</u>	<u>1,220,002,065</u>
General Reserve		
Opening Balance	281,722,160	175,413,169
Add: Transfer from Revenue Account	(23,302,549)	110,527,593
Closing Balance	<u>258,419,611</u>	<u>285,940,762</u>
Unrealised Appreciation Account		
Opening Balance	689,186,140	347,598,437
Add: Transfer from Revenue Account	(81,999,984)	80,941,758
Closing Balance	<u>607,186,156</u>	<u>428,540,195</u>
Total	<u><u>3,274,789,363</u></u>	<u><u>1,934,483,022</u></u>
<hr/>		
Schedule 3	As at Sept 30, 2019	As at Sept 30, 2018
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	-	-
Redemption Payable	3,470,418	2,881,139
Contract for Purchase of Investments	5,234,948	10,097,094
TDS Payble	-	(7,114)
Sundry Creditors for expenses	293,899	232,301
	<u>8,999,265</u>	<u>13,203,420</u>

Schedule 4	As at Sept 30, 2019	As at Sept 30, 2018
Investments (Long Term and Short Term)		
Equity Shares	6,739,255,866	4,198,348,776
Debentures and Bonds Listed/Awaiting Listing	1,198,238	-
Central and State Government Securities (including treasury bills)	-	-
Commercial Paper	-	-
Others - Mutual Fund Units	234,921,826	38,879,393
- Certificates of Deposit	-	-
Total	6,975,375,930	4,237,228,169

Schedule 5	As at Sept 30, 2019	As at Sept 30, 2018
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept 30, 2019	As at Sept 30, 2018
Other Current Assets		
Outstanding and accrued Income	103,628	1,611,242
Interest receivable on deposits with scheduled banks	-	-
Balance with Trustee Bank	-	198,017
Application Pending Allotment (Equity)	-	-
Contract for sale of investments	4,486,012	-
Tax Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	62,689	90,778
	4,652,329	1,900,037

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - C TIER I

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2019

	Schedules	As at Sept. 30, 2019	As at Sept. 30, 2018
Liabilities			
Unit Capital	1	2,539,400,301	1,665,012,402
Reserves and Surplus	2	2,097,078,712	989,757,939
Current Liabilities and Provisions	3	3,943,662	1,256,680
Total		<u>4,640,422,675</u>	<u>2,656,027,021</u>
Assets			
Investments	4	4,493,687,045	2,562,511,359
Deposits	5	-	-
Other Current Assets	6	146,735,630	93,515,662
Total		<u>4,640,422,675</u>	<u>2,656,027,021</u>
(a) Net assets as per Balance Sheet		4,636,479,013	2,654,770,341
(b) Number of Units outstanding		253,940,030	166,501,240
c) NAV Per Units		18.2582	15.9444

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - C TIER I

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019

Particulars	Year ended Sept 30, 2019	Year ended Sept 30, 2018
Income		
Dividend	-	-
Interest	153,939,251	100,178,862
Profit on sale/redemption of investments	2,562,169	882,566
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	82,414,464	-
Other income		
- Miscellaneous Income	11	509
Total	238,915,895	101,061,937
Expenses and Losses		
Unrealized losses in value of investments	-	112,388,037
Loss on sale/redemption of investments	-	-
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	234,084	147,763
NPS Trust fees	37,507	62,612
Custodian fees	95,575	45,294
Trustee Bank's fees	-	-
Depository and settlement charges	-	-
Provision for Non-performing assets	6,750,000	-
Other Expenses, if any	-	1
Total	7,117,166	112,643,707
Surplus/(Deficit) for the year	231,798,729	(11,581,770)
Less: Amount transferred to Unrealised appreciation account	82,414,464	(112,388,037)
Less: Amount transferred to General Reserve	149,384,265	100,806,267
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - C TIER I

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2019

Schedule 1	As at Sept 30, 2019	As at Sept 30, 2018
Unit Capital		
Initial Capital*	-	
Outstanding at the beginning of the year	2,043,217,241	1,445,449,089
Add :Units issued during the year	634,410,627	280,058,286
Less: Units redeemed during the year	138,227,567	60,494,973
Outstanding at the end of the year	2,539,400,301	1,665,012,402
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	204,321,724.1000	91,024,570
Add :Units issued during the year	63,441,062.7000	22,473,289
Less: Units redeemed during the year	13,822,756.7000	3,137,174
Outstanding Units at the end of the year	253,940,030.1000	166,501,240
<hr/>		
Schedule 2	As at Sept 30, 2019	As at Sept 30, 2018
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	977,804,695	597,305,203
Add: Premium on Units issued	507,325,965	165,194,005
Less: Premium on Units redeemed	109,463,311	35,568,596
Closing Balance	1,375,667,349	726,930,612
General Reserve		
Opening Balance	502,040,423	279,818,491
Add: Transfer from Revenue Account	149,384,265	100,806,267
Closing Balance	651,424,688	380,624,758
Unrealised Appreciation Account		
Opening Balance	(12,427,789)	(5,409,394)
Add: Transfer from Revenue Account	82,414,464	(112,388,037)
Closing Balance	69,986,675	(117,797,431)
Total	2,097,078,712	989,757,939
<hr/>		
Schedule 3	As at Sept 30, 2019	As at Sept 30, 2018
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	412,866	-
Redemption Payable	3,328,055	1,109,832
TDS Payble	-	(4,357)
Interest received in advance	-	-
Sundry Creditors for expenses	202,741	151,205
	3,943,662	1,256,680

Schedule 4	As at Sept 30, 2019	As at Sept 30, 2018
Investments (Long Term and Short Term)		
Equity Shares	-	-
Debentures and Bonds Listed/Awaiting Listing	4,305,090,544	2,535,733,750
Central and State Government Securities (including treasury bills)	-	-
Commercial Paper	-	-
Others - Mutual Fund Units	105,346,501	26,777,609
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	90,000,000	-
Less: Provision on Non performing investment	(6,750,000)	-
Total	4,493,687,045	2,562,511,359

Schedule 5	As at Sept 30, 2019	As at Sept 30, 2018
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept 30, 2019	As at Sept 30, 2018
Other Current Assets		
Outstanding and accrued Income	144,875,140	93,061,922
Interest due on NPA	412,866	453,740
Balance with Trustee Bank	1,447,624	-
Sundry Debtors	-	-
Less: Provision for Non performing investment	-	-
	146,735,630	93,515,662

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - G TIER I

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2019

	Schedules	As at Sept. 30, 2019	As at Sept. 30, 2018
Liabilities			
Unit Capital	1	3,956,270,093	2,454,748,464
Reserves and Surplus	2	3,889,752,113	1,567,766,735
Current Liabilities and Provisions	3	6,455,802	2,596,250
Total		<u>7,852,478,008</u>	<u>4,025,111,449</u>
Assets			
Investments	4	7,711,993,727	3,927,766,642
Deposits	5	-	-
Other Current Assets	6	140,484,281	97,344,807
Total		<u>7,852,478,008</u>	<u>4,025,111,449</u>
(a) Net assets as per Balance Sheet		7,846,022,206	4,022,515,199
(b) Number of Units outstanding		395,627,009	245,474,846
(c) NAV Per Units		19.8319	16.3867

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - G TIER I

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019

Particulars	Year ended Sept 30, 2019	Year ended Sept 30, 2018
Income		
Dividend	-	-
Interest	235,831,014	143,135,682
Profit on sale/redemption of investments	53,572,475	30,890,533
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	234,496,494	
Other income		
- Miscellaneous Income	3	110
Total	523,899,986	174,026,325
Expenses and Losses		
Unrealized losses in value of investments	-	157,078,212
Loss on sale/redemption of investments	89,466	15,769,545
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	393,524	222,159
NPS Trust fees	63,823	94,135
Custodian fees	156,594	100,669
Trustee Bank's fees	-	-
Depository and settlement charges	123,049	175,806
Provision for Non-performing assets	-	-
Other Expenses, if any	-	-
Total	826,456	173,440,526
Surplus/(Deficit) for the year	523,073,530	585,799
Less: Amount transferred to Unrealised appreciation account	234,496,494	(157,078,212)
Less: Amount transferred to General Reserve	288,577,036	157,664,011
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - G TIER I

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2019

Schedule 1	As at Sept 30, 2019	As at Sept 30, 2018
Unit Capital		
Initial Capital*	-	
Outstanding at the beginning of the year	3,122,459,907	2,089,639,885
Add :Units issued during the year	1,024,034,270	447,938,075
Less: Units redeemed during the year	190,224,084	82,829,496
Outstanding at the end of the year	3,956,270,093	2,454,748,464
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	312,245,991	113,184,180
Add :Units issued during the year	102,403,427	31,264,516
Less: Units redeemed during the year	19,022,408	3,154,759
Outstanding Units at the end of the year	395,627,009	245,474,846
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Schedule 2	As at Sept 30, 2019	As at Sept 30, 2018
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	1,761,514,853	1,020,595,979
Add: Premium on Units issued	991,673,496	283,083,386
Less: Premium on Units redeemed	180,893,553	52,125,715
Closing Balance	2,572,294,796	1,251,553,650
General Reserve		
Opening Balance	844,300,918	422,380,677
Add: Transfer from Revenue Account	288,577,036	157,664,011
Closing Balance	1,132,877,954	580,044,688
Unrealised Appreciation Account		
Opening Balance	(49,917,131)	(106,753,391)
Add: Transfer from Revenue Account	234,496,494	(157,078,212)
Closing Balance	184,579,363	(263,831,603)
Total	3,889,752,113	1,567,766,735
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Schedule 3	As at Sept 30, 2019	As at Sept 30, 2018
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	-	-
Redemption Payable	6,086,547	2,211,033
Contract for Purchase of Investments	-	-
TDS Payble	-	(6,564)
Sundry Creditors for expenses	369,255	391,781
	6,455,802	2,596,250

Schedule 4	As at Sept 30, 2019	As at Sept 30, 2018
Investments (Long Term and Short Term)		
Equity Shares	-	-
Debentures and Bonds Listed/Awaiting Listing	-	-
Central and State Government Securities (including treasury bills)	7,626,122,503	3,827,573,540
Commercial Paper	-	-
Others - Mutual Fund Units	85,871,224	100,193,102
- Certificates of Deposit	-	-
Total	7,711,993,727	3,927,766,642
<hr/>		
Schedule 5	As at Sept 30, 2019	As at Sept 30, 2018
Deposits		
Deposits with Scheduled Banks	-	-
	-	-
<hr/>		
Schedule 6	As at Sept 30, 2019	As at Sept 30, 2018
Other Current Assets		
Outstanding and accrued Income	128,484,281	70,783,896
Balance with Trustee Bank	12,000,000	26,560,911
Contract for sale of investments	-	-
Tax Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	-	-
Redemption receivable on investments	-	-
	140,484,281	97,344,807

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - E TIER II

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2019

	Schedules	As at Sept. 30, 2019	As at Sept. 30, 2018
Liabilities			
Unit Capital	1	145,195,657	69,726,109
Reserves and Surplus	2	84,216,963	37,433,535
Current Liabilities and Provisions	3	753,065	378,241
Total		<u>230,165,685</u>	<u>107,537,885</u>
Assets			
Investments	4	229,749,930	107,496,834
Deposits	5	-	-
Other Current Assets	6	415,755	41,051
Total		<u>230,165,685</u>	<u>107,537,885</u>
(a) Net assets as per Balance Sheet		229,412,620	107,159,644
(b) Number of Units outstanding		14,519,566	6,972,611
(c) NAV Per Units		15.8002	15.3687

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - E TIER II

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019

Particulars	Year ended Sept 30, 2019	Year ended Sept 30, 2018
Income		
Dividend	1,406,671	821,446
Interest	266	-
Profit on sale/redemption of investments	692,471	2,152,813
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	-	3,534,208
Other income		
- Miscellaneous Income	10	41
Total	2,099,418	6,508,508
Expenses and Losses		
Unrealized losses in value of investments	990,791	-
Loss on sale/redemption of investments	2,327,449	1,793,449
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	10,231	6,081
NPS Trust fees	1,762	2,594
Custodian fees	4,054	2,169
Trustee Bank's fees	-	-
Depository and settlement charges	1	-
Provision for Non-performing assets	-	-
Other Expenses, if any	-	-
Total	3,334,288	1,804,293
Surplus/(Deficit) for the year	(1,234,870)	4,704,215
Less: Amount transferred to Unrealised appreciation account	(990,791)	3,534,208
Less: Amount transferred to General Reserve	(244,079)	1,170,007
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - E TIER II

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2019

Schedule 1	As at Sept 30, 2019	As at Sept 30, 2018
Unit Capital		
Initial Capital*		
Outstanding at the beginning of the year	88,350,670	61,406,438
Add :Units issued during the year	77,968,035	24,492,924
Less: Units redeemed during the year	21,123,048	16,173,253
Outstanding at the end of the year	145,195,657	69,726,109
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	8,835,067	2,739,876
Add :Units issued during the year	7,796,804	2,194,091
Less: Units redeemed during the year	2,112,305	552,655
Outstanding Units at the end of the year	14,519,566	6,972,611
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Schedule 2	As at Sept 30, 2019	As at Sept 30, 2018
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	35,504,496	21,383,287
Add: Premium on Units issued	43,203,243	13,073,634
Less: Premium on Units redeemed	11,967,969	8,715,126
Closing Balance	66,739,770	25,741,795
General Reserve		
Opening Balance	4,504,944	2,466,666
Add: Transfer from Revenue Account	(244,079)	1,170,007
Closing Balance	4,260,865	3,636,673
Unrealised Appreciation Account		
Opening Balance	14,207,119	4,520,859
Add: Transfer from Revenue Account	(990,791)	3,534,208
Closing Balance	13,216,328	8,055,067
Total	84,216,963	37,433,535
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Schedule 3	As at Sept 30, 2019	As at Sept 30, 2018
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	-	-
Redemption Payable	570,605	159,697
TDS Payble	-	(180)
Contract for Purchase of Investments	173,185	212,729
Sundry Creditors for expenses	9,275	5,995
	753,065	378,241

Schedule 4	As at Sept 30, 2019	As at Sept 30, 2018
Investments (Long Term and Short Term)		
Equity Shares	225,936,092	103,083,158
Debentures and Bonds Listed/Awaiting Listing	36,645	-
Central and State Government Securities (including treasury bills)	-	-
Commercial Paper	-	-
Others - Mutual Fund Units	3,777,193	4,413,676
- Certificates of Deposit	-	-
Total	229,749,930	107,496,834

Schedule 5	As at Sept 30, 2019	As at Sept 30, 2018
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept 30, 2019	As at Sept 30, 2018
Other Current Assets		
Outstanding and accrued Income	402	34,247
Balance with Trustee Bank	1	4,866
Application Pending Allotment	-	-
Contract for sale of investments	412,943	-
Tax Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	2,409	1,938
	415,755	41,051

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - C TIER II

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2019

	Schedules	As at Sept. 30, 2019	As at Sept. 30, 2018
Liabilities			
Unit Capital	1	92,953,164	50,208,496
Reserves and Surplus	2	64,993,438	24,553,928
Current Liabilities and Provisions	3	442,170	250,106
Total		<u>158,388,772</u>	<u>75,012,530</u>
Assets			
Investments	4	153,695,244	72,865,613
Deposits	5	-	-
Other Current Assets	6	4,693,528	2,146,917
Total		<u>158,388,772</u>	<u>75,012,530</u>
(a) Net assets as per Balance Sheet		157,946,602	74,762,424
(b) Number of Units outstanding		9,295,316	5,020,850
(c) NAV Per Units		16.9921	14.8904

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - C TIER II

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019

Particulars	Year ended Sept 30, 2019	Year ended Sept 30, 2018
Income		
Dividend	-	-
Interest	4,526,967	2,767,631
Profit on sale/redemption of investments	138,232	75,128
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	2,296,166	-
Other income		
- Miscellaneous Income	20	58
Total	6,961,385	2,842,817
Expenses and Losses		
Unrealized losses in value of investments		3,412,961
Loss on sale/redemption of investments	-	-
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	7,080	4,197
NPS Trust fees	1,255	1,779
Custodian fees	2,837	1,307
Trustee Bank's fees	-	-
Depository and settlement charges	21	-
Provision for Non-performing assets	750,000	-
Other Expenses, if any	-	-
Total	761,193	3,420,244
Surplus/(Deficit) for the year	6,200,192	(577,427)
Less: Amount transferred to Unrealised appreciation account	2,296,166	(3,412,961)
Less: Amount transferred to General Reserve	3,904,026	2,835,534
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - C TIER II

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2019

Schedule 1	As at Sept 30, 2019	As at Sept 30, 2018
Unit Capital		
Initial Capital*		
Outstanding at the beginning of the year	60,402,057	43,333,053
Add :Units issued during the year	47,794,849	15,496,588
Less: Units redeemed during the year	15,243,742	8,621,145
Outstanding at the end of the year	92,953,164	50,208,496
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	6,040,206	2,287,135
Add :Units issued during the year	4,779,485	1,538,470
Less: Units redeemed during the year	1,524,374	377,174
Outstanding Units at the end of the year	9,295,316	5,020,850
<hr/>		
Schedule 2	As at Sept 30, 2019	As at Sept 30, 2018
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	26,024,532	16,969,178
Add: Premium on Units issued	32,309,493	7,570,867
Less: Premium on Units redeemed	10,097,171	4,198,083
Closing Balance	48,236,854	20,341,962
General Reserve		
Opening Balance	11,849,839	5,712,989
Add: Transfer from Revenue Account	3,904,026	2,835,534
Closing Balance	15,753,865	8,548,523
Unrealised Appreciation Account		
Opening Balance	(1,293,447)	(923,596)
Add: Transfer from Revenue Account	2,296,166	(3,412,961)
Closing Balance	1,002,719	(4,336,557)
Total	64,993,438	24,553,928
<hr/>		
Schedule 3	As at Sept 30, 2019	As at Sept 30, 2018
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	45,874	-
Redemption Payable	389,772	245,981
TDS Payble	-	(123)
Sundry Creditors for expenses	6,524	4,248
	442,170	250,106

Schedule 4	As at Sept 30, 2019	As at Sept 30, 2018
Investments (Long Term and Short Term)		
Equity Shares	-	-
Debentures and Bonds Listed/Awaiting Listing	148,824,383	69,034,887
Central and State Government Securities (including treasury bills)	-	-
Commercial Paper	-	-
Others - Mutual Fund Units	4,620,861	3,830,726
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	1,000,000	-
Less: Provision on Non performing investment	(750,000)	-
Total	153,695,244	72,865,613

Schedule 5	As at Sept 30, 2019	As at Sept 30, 2018
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept 30, 2019	As at Sept 30, 2018
Other Current Assets		
Outstanding and accrued Income	4,647,653	2,064,948
Balance with Trustee Bank	1	81,969
Interest due on NPA	45,874	-
Contract for sale of investments	-	-
Tax Receivable	-	-
Redemption receivable on investments	-	-
Sundry Debtors	-	-
Less: Provision for Non performing investment	-	-
	4,693,528	2,146,917

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - G TIER II

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2019

	Schedules	As at Sept. 30, 2019	As at Sept. 30, 2018
Liabilities			
Unit Capital	1	193,927,145	67,253,522
Reserves and Surplus	2	198,153,751	43,517,475
Current Liabilities and Provisions	3	1,345,903	4,704,569
Total		393,426,799	115,475,566
Assets			
Investments	4	384,987,494	112,443,338
Deposits	5	-	-
Other Current Assets	6	8,439,305	3,032,228
Total		393,426,799	115,475,566
(a) Net assets as per Balance Sheet		392,080,896	110,770,997
(b) Number of Units outstanding		19,392,715	6,725,352
(c) NAV Per Units		20.2179	16.4707

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - G TIER II

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019

Particulars	Year ended Sept 30, 2019	Year ended Sept 30, 2018
Income		
Dividend	-	-
Interest	9,788,958	3,753,560
Profit on sale/redemption of investments	6,601,647	1,400,187
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	6,376,838	-
Other income		
- Miscellaneous Income	12	1,123
Total	22,767,455	5,154,870
Expenses and Losses		
Unrealized losses in value of investments	-	3,685,450
Loss on sale/redemption of investments	-	534,167
Loss on inter-scheme transfer/sale of investments	-	83,010
Management fees (including service Tax)	17,063	5,985
NPS Trust fees	3,082	2,536
Custodian fees	6,247	2,634
Trustee Bank's fees	-	-
Depository and settlement charges	16,233	7,495
Provision for Non-performing assets	-	-
Other Expenses, if any	-	-
Total	42,625	4,321,277
Surplus/(Deficit) for the year	22,724,830	833,593
Less: Amount transferred to Unrealised appreciation account	6,376,838	(3,685,450)
Less: Amount transferred to General Reserve	16,347,992	4,519,043
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - G TIER II

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2019

Schedule 1	As at Sept 30, 2019	As at Sept 30, 2018
Unit Capital		
Initial Capital*	-	
Outstanding at the beginning of the year	101,906,441	53,619,118
Add :Units issued during the year	136,273,685	42,750,982
Less: Units redeemed during the year	44,252,981	29,116,578
Outstanding at the end of the year	193,927,145	67,253,522
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	10,190,644	2,757,967
Add :Units issued during the year	13,627,369	1,779,406
Less: Units redeemed during the year	4,425,298	553,822
Outstanding Units at the end of the year	19,392,715	6,725,352
<hr/>		
Schedule 2	As at Sept 30, 2019	As at Sept 30, 2018
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	64,352,856	28,197,074
Add: Premium on Units issued	133,214,498	27,312,934
Less: Premium on Units redeemed	43,592,046	18,664,691
Closing Balance	153,975,308	36,845,317
General Reserve		
Opening Balance	22,743,865	9,009,085
Add: Transfer from Revenue Account	16,347,992	4,519,043
Closing Balance	39,091,857	13,528,128
Unrealised Appreciation Account		
Opening Balance	(1,290,252)	(3,170,520)
Add: Transfer from Revenue Account	6,376,838	(3,685,450)
Closing Balance	5,086,586	(6,855,970)
Total	198,153,751	43,517,475
<hr/>		
Schedule 3	As at Sept 30, 2019	As at Sept 30, 2018
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	-	-
Redemption Payable	1,326,379	4,691,521
Contract for Purchase of Investments	-	-
TDS Payble	-	(181)
Sundry Creditors for expenses	19,524	13,229
	1,345,903	4,704,569

Schedule 4	As at Sept 30, 2019	As at Sept 30, 2018
Investments (Long Term and Short Term)		
Equity Shares	-	-
Debentures and Bonds Listed/Awaiting Listing	-	-
Central and State Government Securities (including treasury bills)	376,958,098	101,904,060
Commercial Paper	-	-
Others - Mutual Fund Units	8,029,396	10,539,278
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	-	-
Less: Provision on Non performing investment	-	-
Total	384,987,494	112,443,338

Schedule 5	As at Sept 30, 2019	As at Sept 30, 2018
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept 30, 2019	As at Sept 30, 2018
Other Current Assets		
Outstanding and accrued Income	5,939,304	1,974,278
Balance with Trustee Bank	2,500,001	1,057,950
Dividend Receivable	-	-
Contract for sale of investments	-	-
Tax Receivable	-	-
	8,439,305	3,032,228

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - ATAL PENSION YOJANA

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2019

	Schedules	As at Sept. 30, 2019	As at Sept. 30, 2018
Liabilities			
Unit Capital	1	19,421,824,986	12,769,517,439
	0		
Reserves and Surplus	2	9,348,045,358	3,688,212,184
Current Liabilities and Provisions	3	12,697,539	89,704,126
Total		<u>28,782,567,883</u>	<u>16,547,433,749</u>
Assets			
Investments	4	28,235,784,020	16,209,657,895
Deposits	5	-	-
Other Current Assets	6	546,783,863	337,775,854
Total		<u>28,782,567,883</u>	<u>16,547,433,749</u>
(a) Net assets as per Balance Sheet		28,769,870,344	16,457,729,623
(b) Number of Units outstanding		1,942,182,499	1,276,951,744
(c) NAV Per Units		14.8132	12.8883

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - ATAL PENSION YOJANA

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019

Particulars	Year ended Sept 30, 2019	Year ended Sept 30, 2018
Income		
Dividend	29,132,034	17,202,365
Interest	815,336,668	481,530,901
Profit on sale/redemption of investments	43,811,021	31,488,739
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	646,120,563	-
Other income		
- Miscellaneous Income	1	1
Total	1,534,400,287	530,222,006
Expenses and Losses		
Unrealized losses in value of investments	-	469,633,227
Loss on sale/redemption of investments	12,178,140	12,089,934
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	1,554,454	875,691
NPS Trust fees	232,908	364,356
Custodian fees	610,804	264,488
Trustee Bank's fees	-	-
Depository and settlement charges	17,962	22,269
Provision for Non-performing assets	22,500,000	-
Other Expenses, if any	-	-
Total	37,094,268	483,249,965
Surplus/(Deficit) for the year	1,497,306,019	46,972,041
Less: Amount transferred to Unrealised appreciation account	646,120,563	(469,633,227)
Less: Amount transferred to General Reserve	851,185,456	516,605,268
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - ATAL PENSION YOJANA

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2019

Schedule 1	As at Sept 30, 2019	As at Sept 30, 2018
Unit Capital		
Initial Capital*		
Outstanding at the beginning of the year	16,155,348,281	9,774,727,403
Add :Units issued during the year	3,629,877,348	3,202,407,746
Less: Units redeemed during the year	363,400,643	207,617,710
Outstanding at the end of the year	19,421,824,986	12,769,517,439
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	1,615,534,828	977,472,740
Add :Units issued during the year	362,987,735	320,240,775
Less: Units redeemed during the year	36,340,064	20,761,771
Outstanding Units at the end of the year	1,942,182,499	1,276,951,744
<hr/>		
Schedule 2	As at Sept 30, 2019	As at Sept 30, 2018
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	3,919,086,183	1,913,115,216
Add: Premium on Units issued	1,623,729,116	917,242,284
Less: Premium on Units redeemed	163,153,590	59,682,332
Closing Balance	5,379,661,709	2,770,675,168
General Reserve		
Opening Balance	2,173,730,037	956,512,493
Add: Transfer from Revenue Account	851,185,456	516,605,268
Closing Balance	3,024,915,493	1,473,117,761
Unrealised Appreciation Account		
Opening Balance	297,347,593	(85,947,518)
Add: Transfer from Revenue Account	646,120,563	(469,633,227)
Closing Balance	943,468,156	(555,580,745)
Total	9,348,045,358	3,688,212,184
<hr/>		
Schedule 3	As at Sept 30, 2019	As at Sept 30, 2018
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	1,376,219	-
Redemption Payable	8,001,226	5,682,730
Contract for Purchase of Investments	2,010,103	83,119,775
TDS Payable	-	(26,396)
Sundry Creditors for expenses	1,309,991	928,017
	12,697,539	89,704,126

Schedule 4	As at Sept 30, 2019	As at Sept 30, 2018
Investments (Long Term and Short Term)		
Equity Shares	3,648,548,920	2,237,961,834
Debentures and Bonds Listed/Awaiting Listing	9,064,029,566	5,442,735,820
Central and State Government Securities (including treasury bills)	15,070,575,379	8,143,099,375
Commercial Paper	-	-
Others - Mutual Fund Units	445,130,155	385,860,866
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	30,000,000	-
Less: Provision on Non performing investment	(22,500,000)	-
Total	28,235,784,020	16,209,657,895

Schedule 5	As at Sept 30, 2019	As at Sept 30, 2018
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept 30, 2019	As at Sept 30, 2018
Other Current Assets		
Outstanding and accrued Income	531,024,887	340,975,329
Balance with Trustee Bank	10,558,966	6,309,708
Interest due on NPA	1,376,219	-
Contract for sale of investments	3,783,932	(9,567,714)
Tax Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	39,859	58,531
Redemption receivable on investments	-	-
Sundry Debtors	-	-
Less: Provision for Non performing investment	-	-
	546,783,863	337,775,854

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - A TIER I

HALF YEARLY (UN AUDITED) BALANCE SHEET AS AT SEPTEMBER 30, 2019

	Schedules	As at Sept. 30, 2019	As at Sept. 30, 2018
Liabilities			
Unit Capital	1	12,941,521	5,160,813
Reserves and Surplus	2	3,217,869	675,512
Current Liabilities and Provisions	3	9,792	16,293
Total		16,169,182	5,852,618
Assets			
Investments	4	15,734,876	5,607,215
Deposits	5	-	-
Other Current Assets	6	434,306	245,403
Total		16,169,182	5,852,618
(a) Net assets as per Balance Sheet		16,159,390	5,836,325
(b) Number of Units outstanding		1,294,152	516,081
c) NAV Per Units		12.4865	11.3089

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - A TIER I

HALF YEARLY (UN AUDITED) REVENUE ACCOUNT FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019

Particulars	Year ended Sept 30, 2018	Year ended Sept 30, 2018
Income		
Dividend	-	-
Interest	465,515	90,885
Profit on sale/redemption of investments	21,710	216,703
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	-	-
Other income		
- Miscellaneous Income	(3)	1
Total	487,222	307,589
Expenses and Losses		
Unrealized losses in value of investments	109,356	140,610
Loss on sale/redemption of investments	-	-
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	756	301
NPS Trust fees	127	128
Custodian fees	243	38
Trustee Bank's fees	-	-
Depository and settlement charges	-	-
Provision for Non-performing assets	-	-
Other Expenses, if any	-	-
Total	110,482	141,077
Surplus/(Deficit) for the year	376,740	166,512
Less: Amount transferred to Unrealised appreciation account	(109,356)	(140,610)
Less: Amount transferred to General Reserve	486,096	307,122
Amount carried forward to Balance Sheet	-	-

For LIC Pension Fund Ltd

MD & CEO

Date: 30-Oct-2019

Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - A TIER I

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2019

Schedule 1	As at Sept 30, 2019	As at Sept 30, 2018
Unit Capital		
Initial Capital*	-	-
Outstanding at the beginning of the year	8,730,736	3,559,964
Add :Units issued during the year	5,189,693	2,056,571
Less: Units redeemed during the year	978,908	455,722
Outstanding at the end of the year	12,941,521	5,160,813
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	873,074	355,996
Add :Units issued during the year	518,969	205,657
Less: Units redeemed during the year	97,891	45,572
Outstanding Units at the end of the year	1,294,152	516,081
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Schedule 2	As at Sept 30, 2019	As at Sept 30, 2018
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance	990,470	219,942
Add: Premium on Units issued	1,212,201	225,505
Less: Premium on Units redeemed	225,738	50,891
Closing Balance	<u>1,976,933</u>	<u>394,556</u>
General Reserve		
Opening Balance	617,391	2,329
Add: Transfer from Revenue Account	486,096	307,122
Closing Balance	<u>1,103,487</u>	<u>309,451</u>
Unrealised Appreciation Account		
Opening Balance	246,805	112,115
Add: Transfer from Revenue Account	(109,356)	(140,610)
Closing Balance	<u>137,449</u>	<u>(28,495)</u>
Total	<u><u>3,217,869</u></u>	<u><u>675,512</u></u>
<hr/>		
Schedule 3	As at Sept 30, 2019	As at Sept 30, 2018
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	-	-
Redemption Payable	9,149	16,033
TDS Payble	-	(9)
Sundry Creditors for expenses	643	269
	<u>9,792</u>	<u>16,293</u>

Schedule 4	As at Sept 30, 2019	As at Sept 30, 2018
Investments (Long Term and Short Term)		
Equity Shares	-	-
Debentures and Bonds Listed/Awaiting Listing	9,985,298	4,925,316
Central and State Government Securities (including treasury bills)	-	-
Commercial Paper	-	-
Others - Mutual Fund Units	5,749,578	681,899
- Certificates of Deposit	-	-
Total	15,734,876	5,607,215

Schedule 5	As at Sept 30, 2019	As at Sept 30, 2018
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept 30, 2019	As at Sept 30, 2018
Other Current Assets		
Outstanding and accrued Income	415,246	187,953
Balance with Trustee Bank	19,060	57,450
Dividend Receivable	-	-
Contract for sale of investments	-	-
Tax Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	-	-
Redemption receivable on investments	-	-
	434,306	245,403