

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - C TIER II

BALANCE SHEET AS AT SEPTEMBER 30, 2016 (UNAUDITED)

	Schedules	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Liabilities			
Unit Capital	1	15,027,831	2,479,271
Reserves and Surplus	2	5,717,738	544,326
Current Liabilities and Provisions	3	30,196	343
Total		20,775,766	3,023,940
Assets			
Investments	4	19,541,395	2,877,736
Deposits	5	500,000	-
Other Current Assets	6	734,371	146,204
Total		20,775,766	3,023,940
	NAV	13.8048	12.1955

The accompanying notes form an integral part of Balance Sheet

LIC Pension Fund Ltd

MD/CEO

Date: 20-10-2016
Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

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REVENUE ACCOUNT FOR THE YEAR ENDED SEPTEMBER 30, 2016 (UNAUDITED)

Particulars	Year ended	Year ended
	Sept. 30, 2016	Sept. 30, 2016
	₹	₹
Income		
Dividend	-	-
Interest	560,234	44,968
Profit on sale/redemption of investments	27,656	22,485
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	664,528	13,213
Other income		
- Miscellaneous Income	-	-
Total	1,252,418	80,666
Expenses and Losses		
Unrealized losses in value of investments	-	-
Loss on sale/redemption of investments	-	-
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	834	93
NPS Trust fees	726	
Custodian fees	419	37
Other Expenses, if any	-	-
Total	1,979	130
Surplus/(Deficit) for the year	1,250,439	80,536
Less: Amount transferred to Unrealised appreciation account	664,528	13,213
Less: Amount transferred to General Reserve	585,911	67,323
Amount carried forward to Balance Sheet	-	-

LIC Pension Fund Ltd

MD/CEO

Date: 20-10-2016
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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2016

Schedule 1	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Unit Capital		
Initial Capital*	-	-
Outstanding at the beginning of the year	6,564,609	844,612
Add :Units issued during the year	9,308,003	1,762,747
Less: Units redeemed during the year	844,781	128,088
Outstanding at the end of the year	15,027,831	2,479,271
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	656,461	84,461
Add :Units issued during the year	930,800	176,275
Less: Units redeemed during the year	84,478	12,809
Outstanding Units at the end of the year	1,502,783	247,927
Schedule 2		
	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance (As on 01-04-2016)	1,386,636	64,814
Add: Premium on Units issued	2,990,886	344,640
Less: Premium on Units redeemed	282,114	25,366
Closing Balance	<u>4,095,408</u>	<u>384,088</u>
General Reserve		
Opening Balance (As on 01-04-2016)	316,750	56,525
Add: Transfer from Revenue Account	585,911	67,323
Closing Balance	<u>902,661</u>	<u>123,848</u>
Unrealised Appreciation Account		
Opening Balance (As on 01-04-2016)	55,141	23,177
Add: Transfer from Revenue Account	664,528	13,213
Closing Balance	<u>719,669</u>	<u>36,390</u>
Total	<u><u>5,717,738</u></u>	<u><u>544,326</u></u>
Schedule 3		
	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	-	-
Amount for redemption of units	28,667	236
Payable Management Fees	501	59
Payable Custodian Fees	593	48
Payable - Trustee Fees	435	-
	<u>30,196</u>	<u>343</u>
Schedule 4		
	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Investments (Long Term and Short Term)		
Equity Shares	-	-
Debentures and Bonds Listed/Awaiting Listing	19,373,464	2,112,029
Central and State Government Securities (including treasury bills)	-	-
Commercial Paper	-	-
Others - Mutual Fund Units	167,931	765,707
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	-	-
Less: Provision on Non performing investment	-	-
Total	<u><u>19,541,395</u></u>	<u><u>2,877,736</u></u>

Schedule 5	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Deposits		
Deposits with Scheduled Banks	500,000	-
	500,000	-

Schedule 6	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Other Current Assets		
Outstanding and accrued Income	715,364	145,903
Interest receivable on deposits with scheduled banks	18,786	-
Amount with Stock Holding for G-Sec	-	-
Balance with Trustee Bank	221	301
Dividend Receivable	-	-
Receivable - Equity	-	-
Tax Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	-	-
Redemption receivable on investments	-	-
Sundry Debtors	-	-
Less: Provision for Non performing investment	-	-
	734,371	146,204