

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - E TIER I

BALANCE SHEET AS AT SEPTEMBER 30, 2016 (UNAUDITED)

	Schedules	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Liabilities			
Unit Capital	1	1,009,623,451	506,128,806
Reserves and Surplus	2	513,537,378	182,769,534
Current Liabilities and Provisions	3	570,360	427,986
Total		1,523,731,189	689,326,326
Assets			
Investments	4	1,523,320,639	689,131,108
Deposits	5	-	-
Other Current Assets	6	410,550	195,218
Total		1,523,731,189	689,326,326
	NAV	15.0864	13.6111

The accompanying notes form an integral part of Balance Sheet

LIC Pension Fund Ltd

MD/CEO

Date: 20-10-2016
Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

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REVENUE ACCOUNT FOR THE YEAR ENDED SEPTEMBER 30, 2016 (UNAUDITED)

Particulars	Year ended Sept. 30, 2016 ₹	Year ended Sept. 30, 2015 ₹
Income		
Dividend	9,486,569	5,760,416
Interest	-	8,520
Profit on sale/redemption of investments	10,824,139	16,995,394
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	144,478,354	-
Other income		
- Miscellaneous Income	-	-
Total	164,789,062	22,764,330
Expenses and Losses		
Unrealized losses in value of investments	-	55,989,039
Loss on sale/redemption of investments	2,374,234	1,333,445
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	75,593	33,791
NPS Trust fees	65,815	-
Custodian fees	34,128	24,662
Other Expenses, if any	-	2
Total	2,549,770	57,380,939
Surplus/(Deficit) for the year	162,239,292	(34,616,609)
Less: Amount transferred to Unrealised appreciation account	144,478,354	-
Less: Amount transferred to General Reserve	17,760,938	(34,616,609)
Amount carried forward to Balance Sheet	-	-

LIC Pension Fund Ltd

MD/CEO

Date: 20-10-2016
Place: Mumbai

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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2016

Schedule 1	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Unit Capital		
Initial Capital*	-	-
Outstanding at the beginning of the year	792,588,758	306,654,954
Add :Units issued during the year	233,929,816	203,388,460
Less: Units redeemed during the year	16,895,123	3,914,608
Outstanding at the end of the year	1,009,623,451	506,128,806
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	79,258,876	30,665,495
Add :Units issued during the year	23,392,982	20,338,846
Less: Units redeemed during the year	1,689,512	391,461
Outstanding Units at the end of the year	100,962,345	50,612,881
Schedule 2		
	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance (As on 01-04-2016)	221,964,781	51,599,627
Add: Premium on Units issued	101,972,422	84,709,464
Less: Premium on Units redeemed	7,595,072	1,583,019
Closing Balance	<u>316,342,131</u>	<u>134,726,072</u>
General Reserve		
Opening Balance (As on 01-04-2016)	38,544,884	14,128,887
Add: Transfer from Revenue Account	17,760,938	(34,616,609)
Closing Balance	<u>56,305,822</u>	<u>(20,487,722)</u>
Unrealised Appreciation Account		
Opening Balance (As on 01-04-2016)	(3,588,929)	68,531,184
Add: Transfer from Revenue Account	144,478,354	-
Closing Balance	<u>140,889,425</u>	<u>68,531,184</u>
Total	<u><u>513,537,378</u></u>	<u><u>182,769,534</u></u>
Schedule 3		
	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	-	-
Payable to Brokers for Equity Purchase	67,020	373,812
Amount for redemption of units	347,277	13,252
Payable Management Fees	42,001	18,845
Payable Custodian Fees	77,540	22,077
Payable - Trustee Fees	36,522	-
	<u>570,360</u>	<u>427,986</u>
Schedule 4		
	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Investments (Long Term and Short Term)		
Equity Shares	1,519,467,586	669,390,600
Debentures and Bonds Listed/Awaiting Listing	-	-
Central and State Government Securities (including treasury bills)	-	-
Commercial Paper	-	-
Others - Mutual Fund Units	3,853,053	19,740,508
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	-	-
Less: Provision on Non performing investment	-	-
Total	<u><u>1,523,320,639</u></u>	<u><u>689,131,108</u></u>

Schedule 5	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Other Current Assets		
Outstanding and accrued Income	-	-
Interest receivable on deposits with scheduled banks	-	-
Amount receivable towards settlement of contracts	-	-
Balance with Trustee Bank	-	13,000
Dividend Receivable	396,733	172,925
Receivable - Equity	-	-
Tax Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	13,817	9,293
Redemption receivable on investments	-	-
	410,550	195,218