

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - NPS LITE GOVERNMENT PATTERN

BALANCE SHEET AS AT SEPTEMBER 30, 2016 (UNAUDITED)

	Schedules	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
<b>Liabilities</b>			
Unit Capital	1	3,829,959,094	3,189,264,079
Reserves and Surplus	2	3,497,866,453	2,195,179,864
Current Liabilities and Provisions	3	2,872,691	479,411
<b>Total</b>		<b>7,330,698,238</b>	<b>5,384,923,354</b>
<b>Assets</b>			
Investments	4	7,109,171,776	5,200,360,149
Deposits	5	40,000,000	40,000,000
Other Current Assets	6	181,526,462	144,563,205
<b>Total</b>		<b>7,330,698,238</b>	<b>5,384,923,354</b>
	NAV	19.1329	16.8830

The accompanying notes form an integral part of Balance Sheet

LIC Pension Fund Ltd

MD/CEO

Place: Mumbai  
Date: 20-10-2016

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - NPS LITE GOVERNMENT PATTERN

REVENUE ACCOUNT FOR THE YEAR ENDED SEPTEMBER 30, 2016 (UNAUDITED)

Particulars	Year ended Sept. 30, 2016 ₹	Year ended Sept. 30, 2015 ₹
<b>Income</b>		
Dividend	5,716,874	6,273,790
Interest	238,675,964	187,338,151
Profit on sale/redemption of investments	29,832,420	7,993,337
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	382,848,146	-
Other income		
- Miscellaneous Income	-	-
<b>Total</b>	<b>657,073,404</b>	<b>201,605,278</b>
<b>Expenses and Losses</b>		
Unrealized losses in value of investments	-	52,187,724
Loss on sale/redemption of investments	7,647,697	3,920,832
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	392,426	295,430
NPS Trust fees	335,010	-
Custodian fees	219,962	215,644
Trustee Bank's fees	-	-
<b>Depository and settlement charges</b>	-	-
<b>Provision for Non-performing assets</b>	-	-
Other Expenses, if any	1	1
<b>Total</b>	<b>8,595,096</b>	<b>56,619,631</b>
<b>Surplus/(Deficit) for the year</b>	<b>648,478,308</b>	<b>144,985,647</b>
Less: Amount transferred to Unrealised appreciation account	382,848,146	-
Less: Amount transferred to General Reserve	265,630,162	144,985,647
<b>Amount carried forward to Balance Sheet</b>	<b>-</b>	<b>-</b>

LIC Pension Fund Ltd

MD/CEO

Place: Mumbai  
Date: 20-10-2016

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - NPS LITE GOVERNMENT PATTERN

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2016

Schedule 1	As at Sept 30, 2016 ₹	As at Sept 30, 2015 ₹
<b>Unit Capital</b>		
Initial Capital*	-	-
Outstanding at the beginning of the year	3,497,995,832	2,834,280,980
Add :Units issued during the year	390,393,798	368,690,010
Less: Units redeemed during the year	58,430,536	13,706,911
Outstanding at the end of the year	3,829,959,094	3,189,264,079
<b>(Face Value of Rs.10/- each unit, fully paid up)</b>		
Outstanding units at the beginning of the year	349,799,583	283,428,098
Add :Units issued during the year	39,039,380	36,869,001
Less: Units redeemed during the year	5,843,054	1,370,691
Outstanding Units at the end of the year	382,995,909	318,926,408
<b>Schedule 2</b>		
<b>Reserves and Surplus</b>		
<b>Unit Premium Reserve</b>		
Opening Balance (As on 01-04-2016)	1,369,746,766	926,418,547
Add: Premium on Units issued	320,431,626	237,832,865
Less: Premium on Units redeemed	47,089,573	8,774,075
Closing Balance	<u>1,643,088,819</u>	<u>1,155,477,337</u>
<b>General Reserve</b>		
Opening Balance (As on 01-04-2016)	996,468,212	583,537,731
Add: Transfer from Revenue Account	265,630,162	144,985,647
Closing Balance	<u>1,262,098,374</u>	<u>728,523,378</u>
<b>Unrealised Appreciation Account</b>		
Opening Balance (As on 01-04-2016)	209,831,114	311,179,149
Add: Transfer from Revenue Account	382,848,146	-
Closing Balance	<u>592,679,260</u>	<u>311,179,149</u>
<b>Total</b>	<u><u>3,497,866,453</u></u>	<u><u>2,195,179,864</u></u>
<b>Schedule 3</b>		
<b>Current Liabilities and Provisions</b>		
<b>Current Liabilities</b>		
Provision for interest overdue	37,808	-
Amount for redemption of units	1,915,833	134,195
Payable Management Fees	205,872	153,096
Payable Custodian Fees	537,671	192,120
Payable - Trustee Fees	175,507	-
	<u>2,872,691</u>	<u>479,411</u>
<b>Schedule 4</b>		
<b>Investments (Long Term and Short Term)</b>		
Equity Shares	781,516,693	547,961,486
Debentures and Bonds Listed/Awaiting Listing	2,642,606,761	1,704,421,135
Central and State Government Securities (including treasury bills)	3,651,754,733	2,922,574,985
Commercial Paper	-	-
Others - Mutual Fund Units	33,293,589	25,402,543
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	-	-
Less: Provision on Non performing investment	-	-
<b>Total</b>	<u><u>7,109,171,776</u></u>	<u><u>5,200,360,149</u></u>

Schedule 5	As at Sept 30, 2016 ₹	As at Sept 30, 2015 ₹
<b>Deposits</b>		
Deposits with Scheduled Banks	40,000,000	40,000,000
	<u>40,000,000</u>	<u>40,000,000</u>

Schedule 6	As at Sept 30, 2016 ₹	As at Sept 30, 2015 ₹
<b>Other Current Assets</b>		
Outstanding and accrued Income	165,532,050	126,870,428
Interest receivable on deposits with scheduled banks	15,173,062	10,215,874
Amount receivable towards settlement of contracts	-	7,206,096
Interest due on NPA	37,808	-
Balance with Trustee Bank	452,501	1
Dividend Receivable	311,232	268,635
Receivable - Equity	-	-
Tax Receivable	13,107	-
Brokerage receivable from LIC Pension Fund Ltd.	6,702	2,171
	<u>181,526,462</u>	<u>144,563,205</u>