

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - E TIER II

BALANCE SHEET AS AT SEPTEMBER 30, 2016 (UNAUDITED)

	Schedules	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Liabilities			
Unit Capital	1	14,650,123	2,574,865
Reserves and Surplus	2	3,992,946	391,671
Current Liabilities and Provisions	3	144,627	149
Total		18,787,696	2,966,685
Assets			
Investments	4	18,783,435	2,965,811
Deposits	5	-	-
Other Current Assets	6	4,261	874
Total		18,787,696	2,966,685
	NAV	12.7255	11.5211

The accompanying notes form an integral part of Balance Sheet

LIC Pension Fund Ltd

MD/CEO

Date: 20-10-2016
Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

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REVENUE ACCOUNT FOR THE YEAR ENDED SEPTEMBER 30, 2016 (UNAUDITED)

Particulars	Year ended Sept. 30, 2016 ₹	Year ended Sept. 30, 2015 ₹
Income		
Dividend	87,383	19,108
Interest	125	23
Profit on sale/redemption of investments	166,153	38,291
Profit on inter-scheme transfer/sale of investments	-	1,297
Unrealized gain on appreciation in investments	1,518,021	-
Other income		
- Miscellaneous Income	1	-
Total	1,771,683	58,719
Expenses and Losses		
Unrealized losses in value of investments	-	138,498
Loss on sale/redemption of investments	57,989	8,202
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	790	123
NPS Trust fees	688	-
Custodian fees	344	76
Other Expenses, if any	-	-
Total	59,811	146,899
Surplus/(Deficit) for the year	1,711,872	(88,180)
Less: Amount transferred to Unrealised appreciation account	1,518,021	-
Less: Amount transferred to General Reserve	193,851	(88,180)
Amount carried forward to Balance Sheet	-	-

LIC Pension Fund Ltd

MD/CEO

Date: 20-10-2016
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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2016

Schedule 1	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Unit Capital		
Initial Capital*	-	-
Outstanding at the beginning of the year	8,077,047	1,198,691
Add :Units issued during the year	7,645,414	1,551,109
Less: Units redeemed during the year	1,072,338	174,935
Outstanding at the end of the year	14,650,123	2,574,865
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	807,705	119,869
Add :Units issued during the year	764,541	155,111
Less: Units redeemed during the year	107,234	17,494
Outstanding Units at the end of the year	1,465,012	257,487
Schedule 2		
	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance (As on 01-04-2016)	831,760	125,979
Add: Premium on Units issued	1,610,110	264,659
Less: Premium on Units redeemed	236,389	24,299
Closing Balance	<u>2,205,481</u>	<u>366,339</u>
General Reserve		
Opening Balance (As on 01-04-2016)	138,345	28,579
Add: Transfer from Revenue Account	193,851	(88,180)
Closing Balance	<u>332,196</u>	<u>(59,601)</u>
Unrealised Appreciation Account		
Opening Balance (As on 01-04-2016)	(62,752)	84,933
Add: Transfer from Revenue Account	1,518,021	-
Closing Balance	<u>1,455,269</u>	<u>84,933</u>
Total	<u><u>3,992,946</u></u>	<u><u>391,671</u></u>
Schedule 3		
	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	-	-
Bills Payable (Equity)	94,550	-
Amount for redemption of units	48,560	-
Payable Management Fees	469	73
Payable Custodian Fees	641	76
Payable - Trustee Fees	407	-
	<u>144,627</u>	<u>149</u>
Schedule 4		
	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Investments (Long Term and Short Term)		
Equity Shares	18,553,304	2,851,805
Debentures and Bonds Listed/Awaiting Listing	-	-
Central and State Government Securities (including treasury bills)	-	-
Commercial Paper	-	-
Others - Mutual Fund Units	230,131	114,006
- Certificates of Deposit	-	-
Total	<u><u>18,783,435</u></u>	<u><u>2,965,811</u></u>

Schedule 5	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Deposits		
Deposits with Scheduled Banks	-	-
	-	-

Schedule 6	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Other Current Assets		
Outstanding and accrued Income	-	-
Interest receivable on deposits with scheduled banks	-	-
Amount with Stock Holding for G-Sec	-	-
Balance with Trustee Bank	24	-
Dividend Receivable	3,941	643
Receivable - Equity	-	231
Tax Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	296	-
Redemption receivable on investments	-	-
	<u>4,261</u>	<u>874</u>