

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - ATAL PENSION YOJANA

BALANCE SHEET AS AT SEPTEMBER 30, 2016 (UNAUDITED)

	Schedules	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Liabilities			
Unit Capital	1	3,230,339,943	351,601,110
Reserves and Surplus	2	602,224,244	16,490,309
Current Liabilities and Provisions	3	30,530,683	5,185,080
Total		3,863,094,870	373,276,499
Assets			
Investments	4	3,757,874,955	356,300,337
Deposits	5	4,000,000	4,000,000
Other Current Assets	6	101,219,915	12,976,162
Total		3,863,094,870	373,276,499
	NAV	11.8643	10.4690

The accompanying notes form an integral part of Balance Sheet

LIC Pension Fund Ltd

MD/CEO

Date: 20-10-2016
Place: Mumbai

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - ATAL PENSION YOJANA

REVENUE ACCOUNT FOR THE YEAR ENDED SEPTEMBER 30, 2016 (UNAUDITED)

Particulars	Year ended Sept. 30, 2016 ₹	Year ended Sept. 30, 2015 ₹
Income		
Dividend	1,738,626	35,624
Interest	90,322,688	2,777,860
Profit on sale/redemption of investments	5,187,873	377,418
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	184,424,040	5,414,756
Other income		
- Miscellaneous Income	(2)	-
Total	281,673,225	8,605,658
Expenses and Losses		
Unrealized losses in value of investments	-	-
Loss on sale/redemption of investments	650,307	-
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	159,274	5,091
NPS Trust fees	135,928	-
Custodian fees	91,105	4,618
Provision for Non-performing assets	-	-
Other Expenses, if any	-	1
Total	1,036,614	9,710
Surplus/(Deficit) for the year	280,636,611	8,595,948
Less: Amount transferred to Unrealised appreciation account	184,424,040	5,414,756
Less: Amount transferred to General Reserve	96,212,571	3,181,192
Amount carried forward to Balance Sheet	-	-

LIC Pension Fund Ltd

MD/CEO

Date: 20-10-2016

Place: Mumbai

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NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT SEPTEMBER 30, 2016

Schedule 1	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Unit Capital		
Initial Capital*	-	-
Outstanding at the beginning of the year	1,557,653,528	-
Add :Units issued during the year	1,700,641,877	351,602,418
Less: Units redeemed during the year	27,955,462	1,308
Outstanding at the end of the year	3,230,339,943	351,601,110
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	155,765,353	-
Add :Units issued during the year	170,064,188	35,160,242
Less: Units redeemed during the year	2,795,546	131
Outstanding Units at the end of the year	323,033,994	35,160,111
Schedule 2		
	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance (As on 01-04-2016)	63,518,442	-
Add: Premium on Units issued	213,584,362	7,894,390
Less: Premium on Units redeemed	3,072,695	29
Closing Balance	<u>274,030,109</u>	<u>7,894,361</u>
General Reserve		
Opening Balance (As on 01-04-2016)	34,480,322	-
Add: Transfer from Revenue Account	96,212,571	3,181,192
Closing Balance	<u>130,692,893</u>	<u>3,181,192</u>
Unrealised Appreciation Account		
Opening Balance (As on 01-04-2016)	13,077,202	-
Add: Transfer from Revenue Account	184,424,040	5,414,756
Closing Balance	<u>197,501,242</u>	<u>5,414,756</u>
Total	<u>602,224,244</u>	<u>16,490,309</u>
Schedule 3		
	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	-	-
Bills Payable (Equity)	2,134,694	5,175,462
Payable Counterparty (G-Sec)	28,007,047	-
Amount for redemption of units	75,077	-
Payable Management Fees	96,355	5,000
Payable Custodian Fees	135,366	4,618
Payable - Trustee Fees	82,144	-
	<u>30,530,683</u>	<u>5,185,080</u>
Schedule 4		
	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Investments (Long Term and Short Term)		
Equity Shares	492,075,798	39,158,133
Debentures and Bonds Listed/Awaiting Listing	1,531,193,788	92,220,206
Central and State Government Securities (including treasury bills)	1,697,205,931	203,394,815
Commercial Paper	-	-
Others - Mutual Fund Units	37,399,438	21,527,183
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	-	-
Less: Provision on Non performing investment	-	-
Total	<u>3,757,874,955</u>	<u>356,300,337</u>

Schedule 5	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Deposits		
Deposits with Scheduled Banks	4,000,000	4,000,000
	<u>4,000,000</u>	<u>4,000,000</u>

Schedule 6	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Other Current Assets		
Outstanding and accrued Income	72,487,690	7,003,922
Interest receivable on deposits with scheduled banks	484,540	105,687
Amount receivable towards settlement of contracts	-	-
Amount with SHCIL for G-Sec Auction	28,007,047	4,801,650
Balance with Trustee Bank	69,954	1,046,737
Dividend Receivable	157,998	12,865
Receivable - Equity	-	-
Tax Receivable	-	-
Brokerage receivable from LIC Pension Fund Ltd.	12,686	5,301
Redemption receivable on investments	-	-
Sundry Debtors	-	-
Less: Provision for Non performing investment	-	-
	<u>101,219,915</u>	<u>12,976,162</u>