

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - CENTRAL GOVERNMENT

BALANCE SHEET AS AT SEPTEMBER 30, 2016 (UNAUDITED)

	Schedules	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Liabilities			
Unit Capital	1	77,918,877,520	62,255,613,727
Reserves and Surplus	2	103,695,085,871	65,893,612,583
Current Liabilities and Provisions	3	308,407,141	124,194,085
Total		181,922,370,532	128,273,420,395
Assets			
Investments	4	175,838,441,514	123,075,642,538
Deposits	5	1,225,000,000	1,225,000,000
Other Current Assets	6	4,858,929,018	3,972,777,857
Total		181,922,370,532	128,273,420,395
	NAV	23.3081	20.5844

The accompanying notes form an integral part of Balance Sheet

LIC Pension Fund Ltd

MD/CEO

Place: Mumbai
Date: 20-10-2016

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - CENTRAL GOVERNMENT

REVENUE ACCOUNT FOR THE YEAR ENDED SEPTEMBER 30, 2016 (UNAUDITED)

Particulars	Year ended	Year ended
	September 30, 2016	September 30, 2015
	₹	₹
Income		
Dividend	133,742,710	131,606,685
Interest	5,854,650,454	4,427,689,561
Profit on sale/redemption of investments	817,734,456	130,767,215
Profit on inter-scheme transfer/sale of investments	-	-
Unrealized gain on appreciation in investments	9,078,679,263	-
Other income		
- Miscellaneous Income	2	-
Total	15,884,806,885	4,690,063,461
Expenses and Losses		
Unrealized losses in value of investments	-	952,462,666
Loss on sale/redemption of investments	149,425,581	62,094,662
Loss on inter-scheme transfer/sale of investments	-	-
Management fees (including service Tax)	9,583,162	6,853,290
NPS Trust fees	8,180,829	-
Custodian fees	5,485,978	4,872,032
Trustee Bank's fees	-	-
Depository and settlement charges	-	-
Provision for Non-performing assets	35,659,000	104,728
Other Expenses, if any	-	-
Total	208,334,550	1,026,387,378
Surplus/(Deficit) for the year	15,676,472,335	3,663,676,083
Less: Amount transferred to Unrealised appreciation account	9,078,679,263	-
Less: Amount transferred to General Reserve	6,597,793,072	3,663,676,083
Amount carried forward to Balance Sheet	-	-

LIC Pension Fund Ltd

MD/CEO

Place: Mumbai
Date: 20-10-2016

NATIONAL PENSION SYSTEM TRUST

NPS TRUST - A/C LIC PENSION FUND LIMITED'S PENSION FUND SCHEME - CENTRAL GOVERNMENT

NOTES ANNEXED TO AND FORMING PART OF THE BALANCE SHEET AS AT MARCH 31, 2016

Schedule 1	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Unit Capital		
Initial Capital*	-	-
Outstanding at the beginning of the year	69,505,833,402	55,280,070,615
Add :Units issued during the year	8,579,155,011	7,056,346,167
Less: Units redeemed during the year	166,110,893	80,803,055
Outstanding at the end of the year	77,918,877,520	62,255,613,727
(Face Value of Rs.10/- each unit, fully paid up)		
Outstanding units at the beginning of the year	6,950,583,340	5,528,007,062
Add :Units issued during the year	857,915,501	705,634,617
Less: Units redeemed during the year	16,611,089	8,080,306
Outstanding Units at the end of the year	7,791,887,752	6,225,561,373
Schedule 2		
Reserves and Surplus		
Unit Premium Reserve		
Opening Balance (as on 01-04-2016)	44,247,867,159	29,502,755,322
Add: Premium on Units issued	10,506,911,667	7,117,537,782
Less: Premium on Units redeemed	202,212,942	81,750,131
Closing Balance	<u>54,552,565,884</u>	<u>36,538,542,973</u>
General Reserve		
Opening Balance (as on 01-04-2016)	28,102,596,950	18,219,386,464
Add: Transfer from Revenue Account	6,597,793,072	3,663,676,083
Closing Balance	<u>34,700,390,022</u>	<u>21,883,062,547</u>
Unrealised Appreciation Account		
Opening Balance (as on 01-04-2016)	5,363,450,702	7,472,007,063
Add: Transfer from Revenue Account	9,078,679,263	-
Closing Balance	<u>14,442,129,965</u>	<u>7,472,007,063</u>
Total	<u>103,695,085,871</u>	<u>65,893,612,583</u>
Schedule 3		
Current Liabilities and Provisions		
Current Liabilities		
Provision for interest overdue	6,573,567	-
Bills Payable (Equity)	85,956,409	108,039,167
Payable Counterparty (G-Sec)	185,466,498	-
Interest received in advance	-	5,419,178
Amount for redemption of units	7,926,818	2,776,877
Payable Management Fees	5,088,577	3,589,928
Payable Custodian Fees	13,057,236	4,368,935
Payable - Trustee Fees	4,338,036	-
	<u>308,407,141</u>	<u>124,194,085</u>
Schedule 4		
Investments (Long Term and Short Term)		
Equity Shares	20,731,045,003	13,002,289,750
Debentures and Bonds Listed/Awaiting Listing	62,420,668,751	43,620,925,750
Central and State Government Securities (including treasury bills)	92,487,642,367	66,068,128,558
Commercial Paper	-	-
Others - Mutual Fund Units	137,940,393	384,298,480
- Certificates of Deposit	-	-
Non Convertible Debentures classified as Non performing investment	123,713,000	24,166,669
Less: Provision on Non performing investment	62,568,000	24,166,669
	<u>61,145,000</u>	<u>-</u>
Total	<u>175,838,441,514</u>	<u>123,075,642,538</u>

Schedule 5	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Deposits		
Deposits with Scheduled Banks	1,225,000,000	1,225,000,000
	<u>1,225,000,000</u>	<u>1,225,000,000</u>

Schedule 6	As at Sept. 30, 2016 ₹	As at Sept. 30, 2015 ₹
Other Current Assets		
Outstanding and accrued Income	4,007,896,029	3,435,540,984
Interest receivable on deposits with scheduled banks	451,468,584	302,573,200
Amount receivable towards settlement of contracts	-	-
Amount with Custodian G-Sec Auction	185,466,498	101,368,167
Interest due on NPA	1,353,534	-
Balance with Trustee Bank	244,754	39,395,758
Dividend Receivable	6,852,347	6,078,187
Receivable - Equity	-	-
TDS Receivable	167,401	167,401
Brokerage receivable from LIC Pension Fund Ltd.	185,620	109,909
Redemption receivable on investments	196,500,000	-
	-	-
Sundry Debtors	355,333,334	401,166,665
Less: Provision for Non performing investment	346,539,083	313,622,414
	8,794,251	87,544,251
	<u>4,858,929,018</u>	<u>3,972,777,857</u>