

## LIC Pension Fund Limited

**Scheme 11: NPS TRUST A/C LIC PENSION FUND SCHEME - ATAL PENSION YOJANA**

**BALANCE SHEET AS AT 30.09.2015 (Unaudited)**

Particulars	Note	30.09.2015	30.09.2014
		₹	₹
<b>LIABILITIES</b>			
Unit Capital	1	35,16,01,110	-
Reserve & Surplus	2	1,64,90,309	-
Current Liabilities & Provision	3	51,85,080	-
<b>Total</b>		<b>37,32,76,499</b>	<b>-</b>
<b>ASSETS</b>			
Investment	4	35,63,00,337	-
Deposits	5	40,00,000	-
Other Current Assets	6	1,29,76,162	-
<b>Total</b>		<b>37,32,76,499</b>	<b>-</b>
<b>Net Assets Value Per Unit</b>		<b>10.4690</b>	<b>-</b>

The accompanying Notes form an integral part of Balance Sheet

For and on behalf of  
LIC Pension Fund Ltd

\_\_\_\_\_  
MD & CEO

PLACE: Mumbai  
Date: 30.10.2015

## LIC Pension Fund Limited

### Scheme 11: NPS TRUST A/C LIC PENSION FUND SCHEME-ATAL PENSION YOJANA REVENUE ACCOUNT FOR THE YEAR HALF ENDED SEPTEMBER 30, 2015 (Unaudited)

Particulars	Note	Half Year ended Sep 30, 2015	Half Year ended Sep 30, 2014
		₹	₹
<b>Income:</b>			
Interest Income	7	27,77,860	0
Profit on sale of investment (Other than inter scheme transfer/sale)		3,77,418	0
Profit on sale of investment (Inter scheme transfer/sale)		0	0
Dividend		35,624	0
<b>Total Revenue</b>		<b>31,90,902</b>	<b>0</b>
<b>Expenses:</b>			
Management Fees		5,091	0
Custodian Fees		2,765	0
CCIL Fee		1,853	0
Other Expenses		1	0
Bank Charges		0	0
Loss on sale of investment (Other than inter scheme transfer/sale)		0	0
Loss on sale of investment (Inter scheme transfer/sale)		0	0
<b>Total Expenses</b>		<b>9,710</b>	<b>0</b>
<b>Surplus</b>		<b>31,81,192</b>	<b>0</b>
Net Increase /(Decrease) in unrealised gain/(loss) on the value of Investment		54,14,756	0
<b>Surplus for the year transferred to reserves</b>		<b>85,95,948</b>	<b>0</b>

The accompanying Notes form an integral part of Revenue Account

For and on behalf of  
LIC Pension Fund Ltd

\_\_\_\_\_  
MD & CEO

PLACE: Mumbai  
Date: 30.10.2015

## LIC Pension Fund Limited

### Scheme 11: NPS TRUST A/C LIC PENSION FUND SCHEME-ATAL PENSION YOJANA

#### NOTES TO FINANCIAL STATEMENTS FOR THE HALF YEAR ENDED 30.09.2015

**Note 1 : Unit Capital**

Particulars	30.09.2015		30.09.2014	
	Units	₹	Units	₹
<b>Units of Rs. 10 each:</b>				
<b>Outstanding:</b>				
At the beginning of the year (01.04.2015)	0	0	0	0
Issued during the Year	3,51,60,241.8675	35,16,02,418	0	0
Repurchased during the year	-130.8360	-1,308	0	0
	3,51,60,111.0315	35,16,01,110	0	0

**Note 2 : Reserve & Surplus**

Particulars	30.09.2015	30.09.2014
	₹	₹
<b>Unit Premium Reserve:</b>		
At the beginning of the year (01.04.2015)	0	0
Net Addition \ (Deduction) during the Year	78,94,361	0
<b>Total Unit Premium</b>	78,94,361	0
<b>Surplus (Revenue Account)</b>		
Balance brought forward from previous year (01.04.2015)	0	0
Surplus for the current year	85,95,948	0
<b>Total Surplus</b>	85,95,948	0
<b>Total</b>	<b>1,64,90,309</b>	<b>0</b>

**Note 3 : Current Liabilities**

Particulars	30.09.2015	30.09.2014
	₹	₹
Payable for Purchase	51,75,462	0
Amount for Redemption of units	0	0
Payable Management Fees	5,000	0
Payable Custodian Fees	2,765	0
Payable - CCIL/NDS Charges	1,853	0
<b>Total</b>	<b>51,85,080</b>	<b>0</b>

## LIC Pension Fund Limited

### Scheme 11: NPS TRUST A/C LIC PENSION FUND SCHEME-ATAL PENSION YOJANA

#### NOTES TO FINANCIAL STATEMENTS FOR THE HALF YEAR ENDED 30th SEPTEMBER,2015

**Note 4 : Investment**

Particulars	30.09.2015	30.09.2014
	₹	₹
Equity	3,91,58,133	0
Debenture and Bonds	9,22,20,206	0
Government Securities	20,33,94,815	0
Mutual Fund Investment	2,15,27,183	0
<b>Total</b>	<b>35,63,00,337</b>	<b>0</b>

**Note 5 : Deposits**

Particulars	30.09.2015	30.09.2014
	₹	₹
Deposits with Scheduled Banks	40,00,000	0
<b>Total</b>	<b>40,00,000</b>	<b>0</b>

**Note 6 : Other Current Assets**

Particulars	30.09.2015	30.09.2014
	₹	₹
Outstanding and accrued Income	70,03,922	0
Interest Receivable on deposits with scheduled banks	1,05,687	0
AMT WITH SHCIL -G-SEC. AUCTION	48,01,650	0
Balance with Trustee Bank	10,46,737	0
Dividend Receivable	12,865	0
Brokerage receivable from LIC Pension Fund Ltd.	5,301	0
Amount receivable from Central Recordkeeping Agency	0	0
<b>Total</b>	<b>1,29,76,162</b>	<b>0</b>

## LIC Pension Fund Limited

### Scheme 11: NPS TRUST A/C LIC PENSION FUND SCHEME-ATAL PENSION YOJANA NOTES TO FINANCIAL STATEMENTS FOR THE HALF YEAR ENDED 30.09.2015

**Note 7 : Interest Income**

Particulars	30.09.2015	30.09.2014
	₹	₹
Interest Income - Government Securities	18,44,007	-
Interest Income - Non-Convertible Bonds/Debentures	8,28,166	-
Interest Income - Deposits	1,05,687	-
Interest Income - Other	0	-
<b>Total</b>	<b>27,77,860</b>	-

## Schedules Annexed to and scheduled part of Financial Statements

### A) Equity

S. No.	security_name	units	mkt_value	% to Asset Class
1	ACC LTD.	790	10,63,933	2.72
2	AMBUJA CEMENTS LTD.	3,100	6,37,980	1.63
3	AXIS BANK LIMITED	3,698	18,32,914	4.68
4	BAJAJ AUTO LTD.	354	8,18,483	2.09
5	BANK OF BARODA	1,000	1,83,200	0.47
6	BHARAT HEAVY ELECTRICALS LTD	3,089	6,34,944	1.62
7	BHARATI AIRTEL	2,360	7,97,326	2.04
8	CIPLA LTD.	2,114	13,44,610	3.43
9	COAL INDIA LIMITED	2,384	7,80,998	1.99
10	DR. REDDYS LABORATORIES LTD.	24	99,896	0.26
11	EXIDE INDUSTRIES LTD.	250	38,913	0.10
12	FEDERAL BANK LIMITED	2,050	1,31,098	0.33
13	GAIL INDIA	587	1,77,450	0.45
14	HCL TECHNOLOGIES LTD.	1,000	9,82,150	2.51
15	HDFC BANK LIMITED	2,963	31,66,854	8.09
16	HERO MOTOCORP LTD.	107	2,56,212	0.65
17	HINDALCO INDUSTRIES LIMITED	52	3,682	0.01
18	HINDUSTAN UNILEVER LTD.	2,983	24,30,399	6.21
19	HOUSING DEVELOPMENT FINANCE CORPORATION LTD.	2,748	33,32,637	8.51
20	ICICI BANK LTD.	3,058	8,26,730	2.11
21	INFOSYS TECHNOLOGIES LTD	778	9,03,997	2.31
22	INFRASTRUCTURE DEVELOPMENT FIN CORP	15,150	21,36,908	5.46
23	ITC LTD	3,843	12,63,578	3.23
24	KOTAK MAHINDRA BANK LTD.	1,740	11,27,694	2.88
25	LARSEN AND TOUBRO LTD	902	13,22,558	3.38
26	LIC HOUSING FINANCE LTD.	400	1,88,220	0.48
27	LUPIN LTD.	580	11,79,720	3.01
28	MAHINDRA & MAHINDRA LTD.	786	9,93,347	2.54
29	MARUTI SUZUKI INDIA LTD.	24	1,12,454	0.29
30	NTPC LTD	383	47,396	0.12
31	OIL AND NATURAL GAS CORPORATION LTD.	2,274	5,21,542	1.33
32	POWER FINANCE CORPORATION LTD.	4,550	10,46,955	2.67
33	POWER GRID CORPORATION OF INDIA LTD	4,450	5,88,958	1.50
34	PUNJAB NATIONAL BANK	3,000	3,99,750	1.02
35	RELIANCE INDUSTRIES LTD.	1,007	8,68,336	2.22
36	RURAL ELECTRIFICATION CORPORATION LTD.	1,400	3,83,670	0.98
37	SIEMENS LTD.	275	3,64,636	0.93
38	STATE BANK OF INDIA	5,627	13,34,443	3.41
39	SUN PHARMACEUTICALS INDUSTRIES LTD.	1,051	9,12,688	2.33
40	TATA CONSULTANCY SERVICES LTD.	595	15,39,890	3.93
41	TATA MOTORS LTD.	2,929	8,74,599	2.23
42	TATA STEEL LIMITED	124	26,362	0.07
43	UltraTech Cement Limited	70	1,87,138	0.48
44	VEDANTA LTD	44	3,727	0.01
45	WIPRO LIMITED	1,424	8,51,338	2.17
46	YES BANK LTD	600	4,37,820	1.12
<b>Total</b>			<b>3,91,58,133</b>	<b>100.00</b>

### B) GSEC

S. No.	security_name	Face Value	mkt_value	% to Asset Class
1	8.83% G-SEC 2041 (12.12.2041)	90,50,000	99,99,345	4.92
2	9.20% G-SEC 2030 (30.09.2030)	1,58,40,000	1,76,63,184	8.68
3	8.17% G-SEC 2044 (01.12.2044)	7,85,70,000	8,17,44,228	40.19
4	7.72% GSEC 2025 (25.05.2025)	66,00,000	66,79,860	3.28
5	8.13% G-SEC 2045 (22.06.2045)	6,91,50,000	7,16,39,400	35.22

6	8.30% WEST BENGAL SDL 2025 (26.08.2025)	10,30,000	10,50,707	0.52
7	8.23% MAHARASHTRA SDL 2025 (09.09.2025)	50,00,000	50,81,025	2.50
8	9.11% MAHARASHTRA SDL 2024 (28.05.2024)	44,00,000	46,90,435	2.31
9	9.35% MAHARASHTRA SDL 2024 (30.01.2024)	45,00,000	48,46,631	2.38
<b>Total</b>		<b>19,41,40,000</b>	<b>20,33,94,815</b>	<b>100.00</b>

### C) BONDS

S. No.	security_name	Face Value	mkt_value	% to Asset Class
1	9.90% YES BANK UNSECURED LOWER TIER II NCDs 2022 (28.03.2022)	50,00,000	53,23,335	8.54
2	8.85% Unsec. Senior Red. NCDs Axis Bank Ltd. 2024 (05.12.2024)	20,00,000	20,79,090	3.34
3	9.34% SECURED NCDs HDFC LTD. 2024 (28.08.2024)	30,00,000	32,12,805	5.15
4	8.73% UNSEC NCDs IDFC BANK LTD.2023 (06.01.2023)	50,00,000	51,37,140	8.24
5	9.47% LIC HOUSING FINANCE LTD. SECURED NCDs 2024 (23.08.2024)	50,00,000	53,91,730	8.65
6	9.65% SECURED NCDs HDFC LTD.2019 (19.01.2019)	70,00,000	73,02,225	11.71
7	8.69% SECURED NCDs CAN FIN HOMES LTD. 2020 (10.09.2020)	80,00,000	81,43,224	13.06
8	9.36% SENIOR UNSEC. NCDs KOTAK MAHINDRA BANK LTD. 2021 (12.08.2021)	1,00,00,000	1,05,12,140	16.86
9	9.20% UNSEC TIER II NCDs TATA CAPITAL HOUSING FINANCE LTD 2025 (19.09.2025)	50,00,000	52,32,080	8.39
10	8.40% LARSEN & TOUBRO LTD UNSECURED NCDs 2020 (24.09.2020)	1,00,00,000	1,00,00,000	16.04
<b>Total</b>		<b>6,00,00,000</b>	<b>6,23,33,769</b>	<b>100.00</b>

### D) DEBENTURES

S. No.	security_name	Face Value	mkt_value	% to Asset Class
1	8.57% UNSEC RURAL ELECTRIFICATION CORPORATION LTD. BONDS 2024(21.12.2024)	30,00,000	30,77,862	10.30
2	8.65% UNSEC. POWER FINANCE CORPORATION LTD. BONDS 2024 (28.12.2024)	50,00,000	51,36,085	17.19
3	8.30% UNSEC RURAL ELECTRIFICATION CORPORATION LTD. BONDS 2025(10.04.2025)	30,00,000	30,28,224	10.13
4	8.56% SEC BONDS PNB HOUSING FINANCE LTD. 2020(28.07.2020)	80,00,000	81,56,016	27.29
5	9.00% SEC. SAIL BONDS- XXXVIITH ISSUE- I SERIES (AX) 2024 (14.10.2024)	1,00,00,000	1,04,88,250	35.09
<b>Total</b>		<b>2,90,00,000</b>	<b>2,98,86,437</b>	<b>100.00</b>